

*Coalescent Models  
With Recombination*

**Biostatistics 666**  
**Lecture 6**

So far ...

---

- Basic Properties of the Coalescent
  - MRCA
  - Coalescence times
  - Number of mutations
- Frequency spectrum of polymorphisms
- Predicting number of variants in a sample

# Today ...

---

- Further refining the coalescent
  - Recombination
  - Migration
- Discussion of potential applications

# Recombination ...

---

- No recombination
  - Single genealogy
- Free recombination
  - Two independent genealogies
  - Same population history
- Intermediate case
  - Correlated genealogies

# The History of Two Sequences

Let's consider the potential history of two sequences, but this time... with a twist!

**Sequence A**

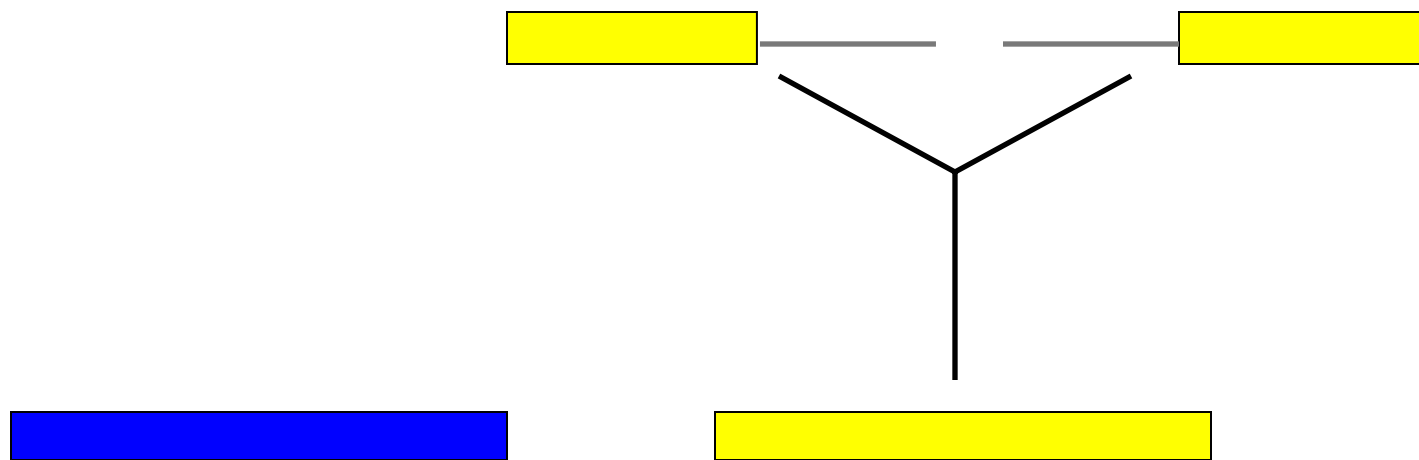


**Sequence B**



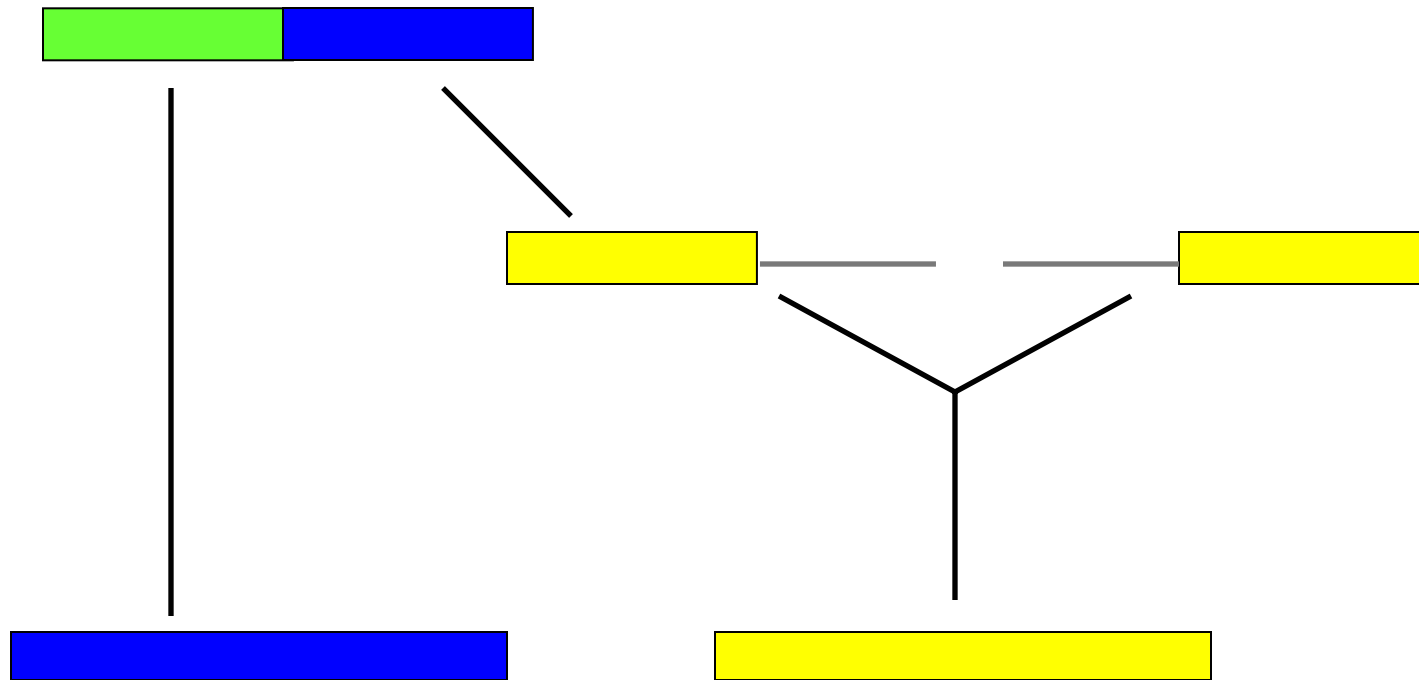
# The History of Two Sequences

Before we reach a common ancestor ... we find that sequence B is actually the result of recombination between two ancestral sequences

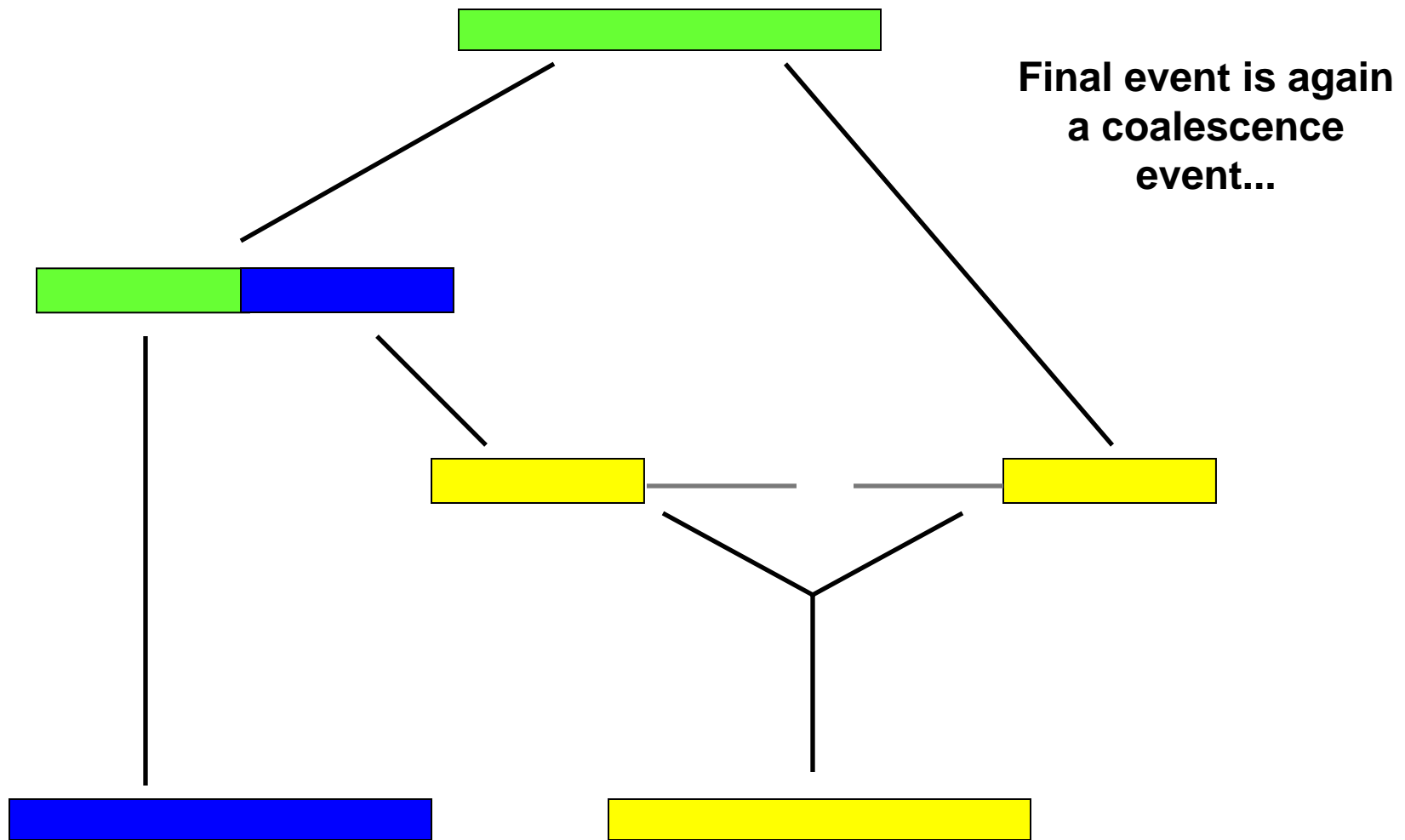


# The History of Two Sequences

The next event we encounter is a coalescence event, as expected ...



# The History of Two Sequences





## Potential Consequences ...

---

- Different portions of the sequence have different coalescence times
- Different portions of the sequence will show more or less variation

## Another Consequence ...

---

- Recombination and recurrent mutation can produce similar outcomes ...

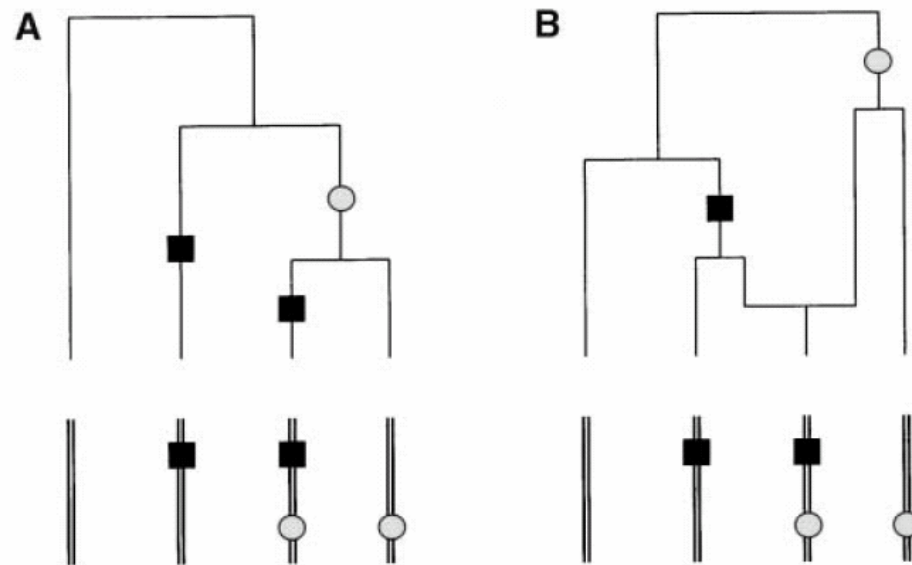


Figure from McVean et al (*Genetics*, 2001)

# Simulating the Coalescent with Recombination

---

- Assume the various alternative events are rare
- Time until the next event is approximately exponentially distributed
- Conditional on something happening, figure out whether it was:
  - Recombination
  - Coalescence

# Generating Genealogies

---

- Proceed backwards in time, until...

- Coalescent event

- Reduces number of ancestors by 1

$$P_{CA} \approx \binom{n}{2} / 2N$$

- Recombination

- May increase number of ancestors by 1

$$P_{rec} \approx nr$$

## P(First Event is CA)

---

$$\begin{aligned} P(\text{no rec}) &= \frac{P_{CA}}{P_{CA} + P_{rec}} = \frac{\binom{n}{2} / 2N}{\binom{n}{2} / 2N + nr} \\ &= \frac{n-1}{4Nr + n - 1} \\ &= \frac{n-1}{R + n - 1} \end{aligned}$$

# Coalescent W/ Recombination

---

- Analytical results are difficult
- Typical approach is to ...
- First, simulate ancestral recombination graphs (ARG)
  - Coalescent tree with recombination events
- Study sample properties implied by simulated ARGs
  - For example, similarity in frequencies of neighboring SNPs

# Correlated Genealogies

---

- Produce correlation in
  - Allele frequencies
  - Number of mutations
  - Distribution of alleles among chromosomes
    - Linkage disequilibrium
- Use simulations to evaluate distributions as a function of recombination rate

## Example 1

---

- Consider a sample of  $n = 90$  chromosomes
- 2 locus coalescent, focus on samples where
  - $n_A = 30$
  - $n_B = 20$
- What is the distribution of  $n_{AB}$ ?
  - And consequently of  $D'$ ,  $r^2$



# Low Recombination

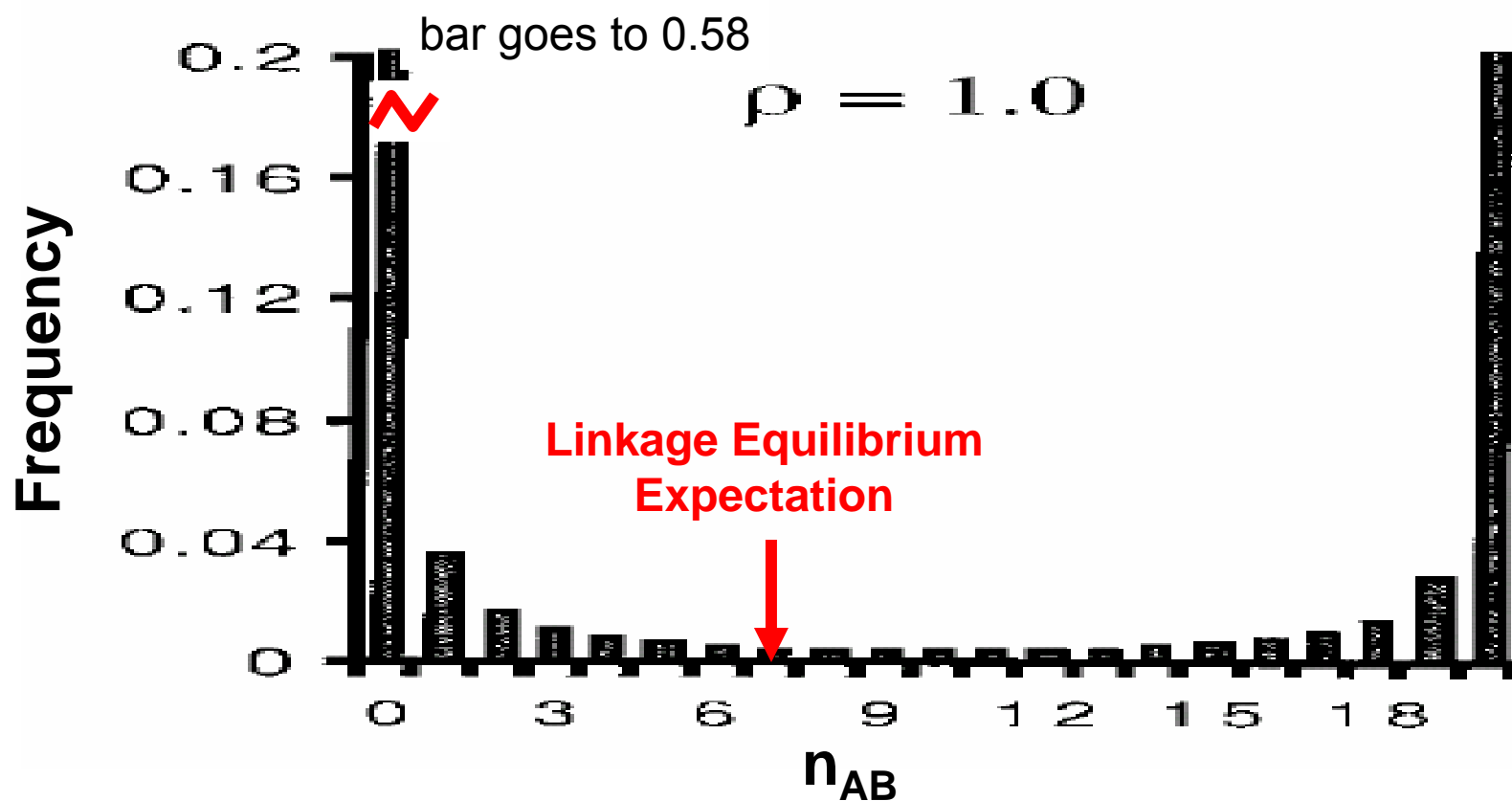


Figure from Hudson et al (Genetics, 2001)

# Higher Recombination

---

$$\rho = 10.0$$

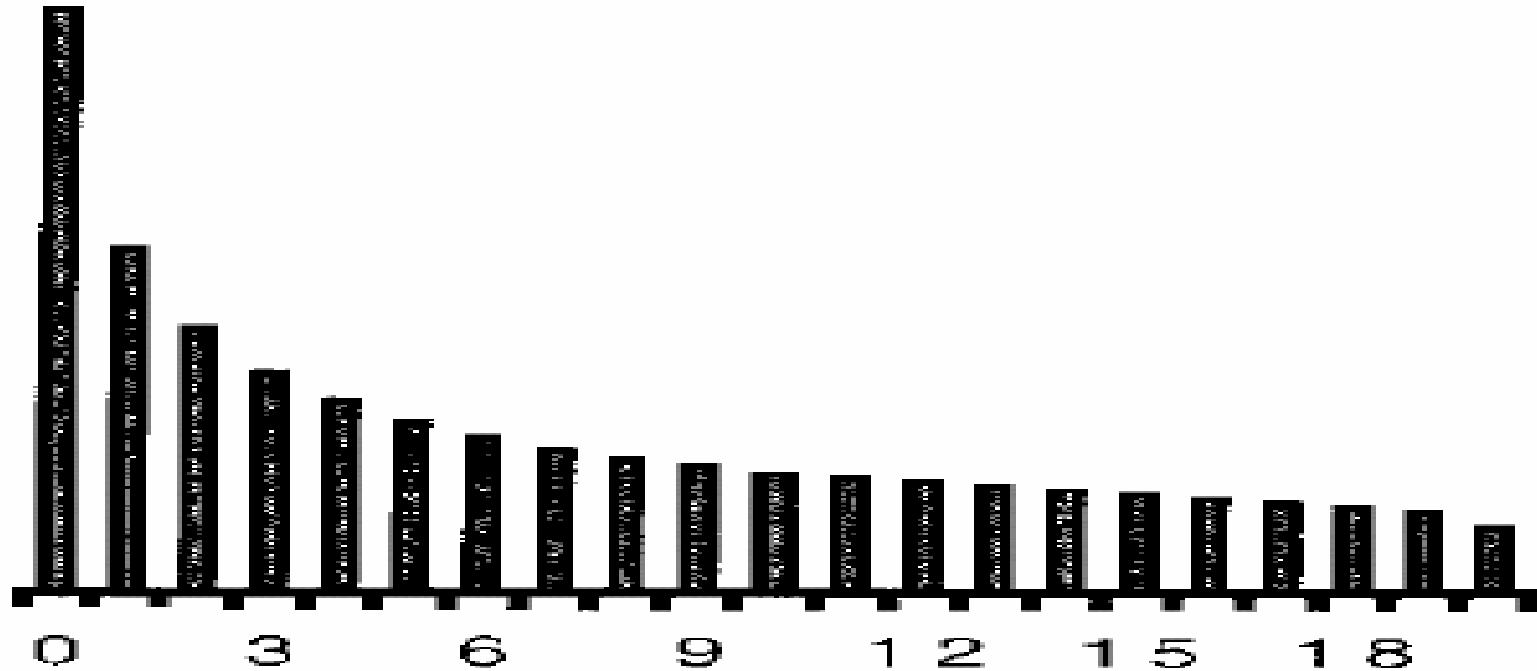


Figure from Hudson et al (Genetics, 2001)

# High Recombination Rate

---

$\rho = 100.0$

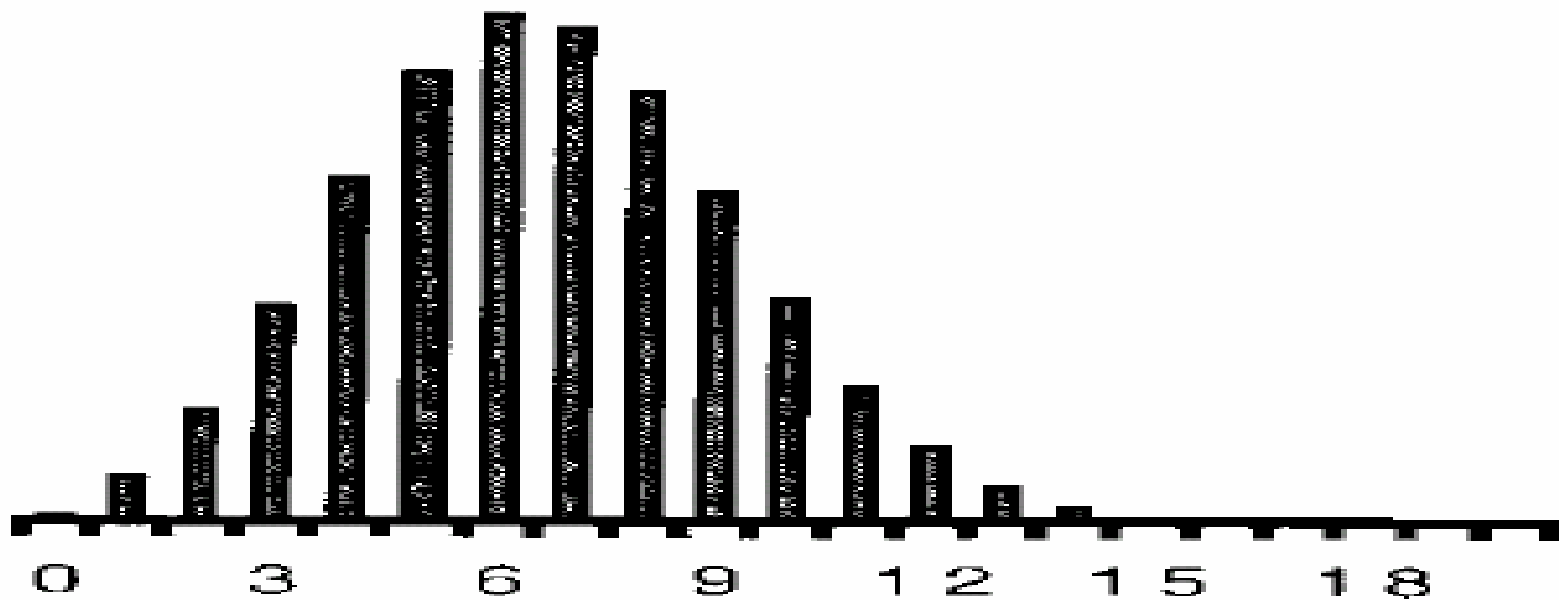
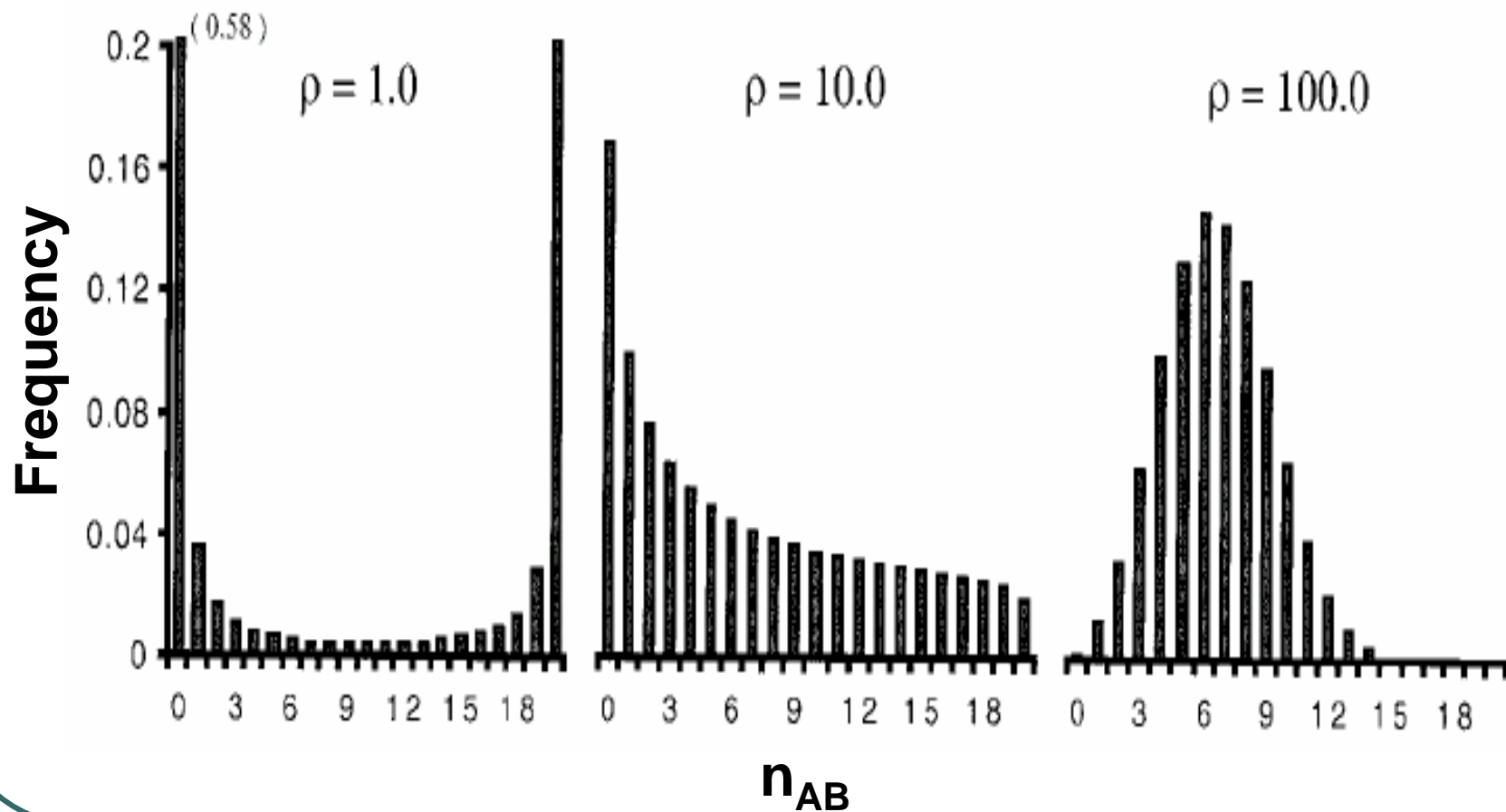


Figure from Hudson et al (Genetics, 2001)

# Impact of Recombination on Haplotype Distribution



## Some Notes ...

---

- If we are interested in studying the local recombination rate, neither  $r^2$  or  $D'$  retain all the information contained in  $n_A$ ,  $n_B$ ,  $n_{AB}$
- We can estimate  $R$  or  $\rho$  by finding the value that maximizes the probability of the observed sample configuration

# Estimating Recombination Rates

---

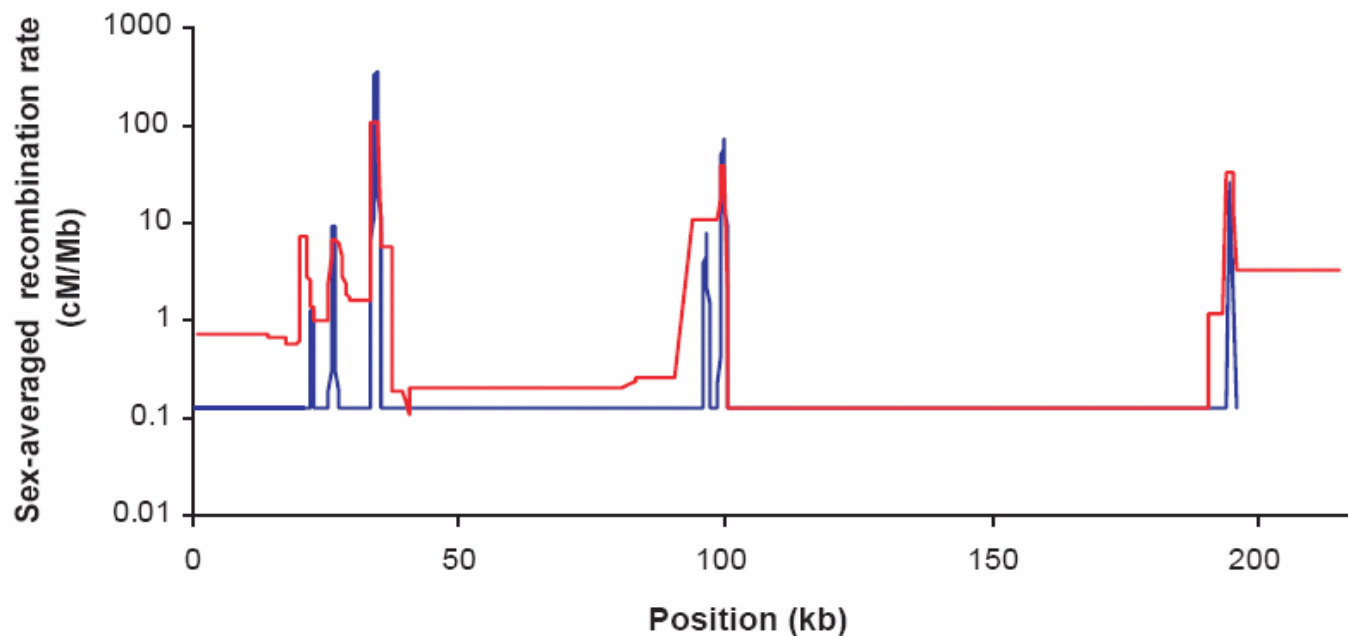
- McVean et al. (*Science*, 2004) estimated the following “pseudo-likelihood” for a sample of haplotypes:

$$\ell(4Nr) = \sum_{ij} \ell(n_i, n_j, n_{ij} | 4Nr_{ij})$$

(summation is over all pairs of markers)

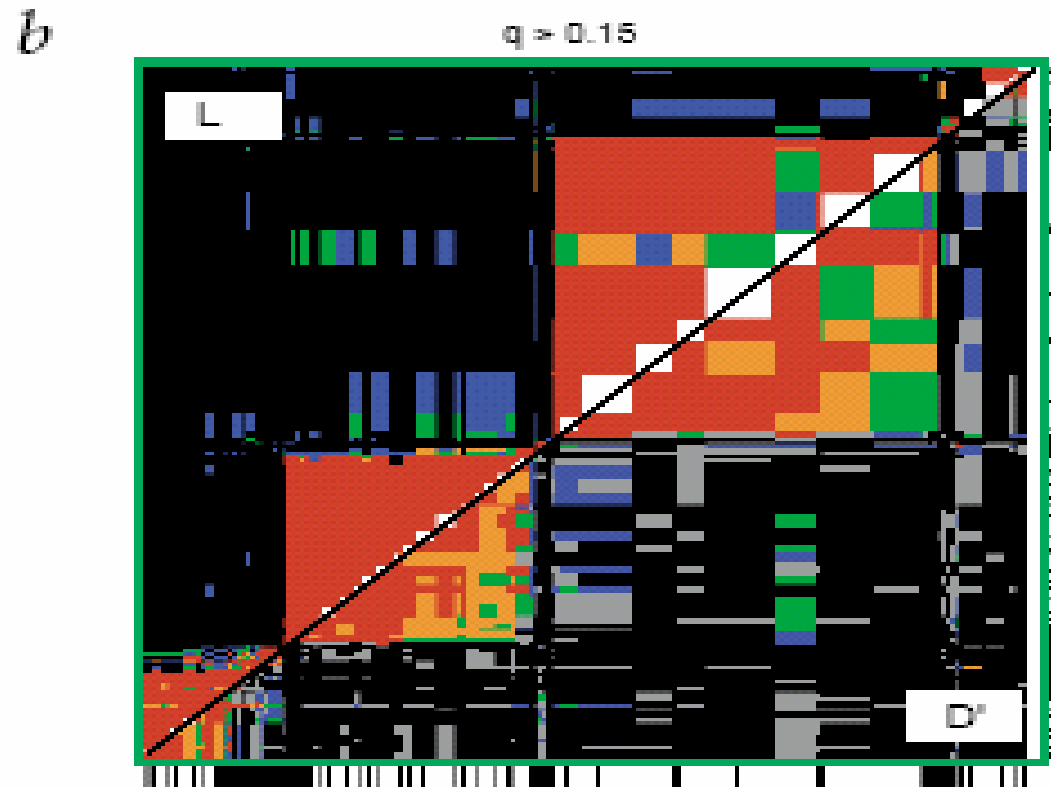
- Estimated recombination rates allow us to predict what other chromosomes or samples from the population might look like.

# Recombination Rate Within HLA



**Fig. 2.** Comparison between estimates of local recombination rates from population genetic data (red) and sperm analysis (blue) in the HLA region; data from (3). To convert the male crossing over rates to sex-averaged rates, we used the previous observation that the female crossing-over rate in this region is about four times that of males (42).

# Pairwise LD in HLA



Pairwise LD data from Jeffrey's et al (2001)



## Other Multi-Locus Coalescents

---

- Predicting correlation in number of mutations for neighboring regions
- If mutation rate were constant, would correspond to correlation of  $T_{TOT}$  between the two regions

## Total number of mutations

---

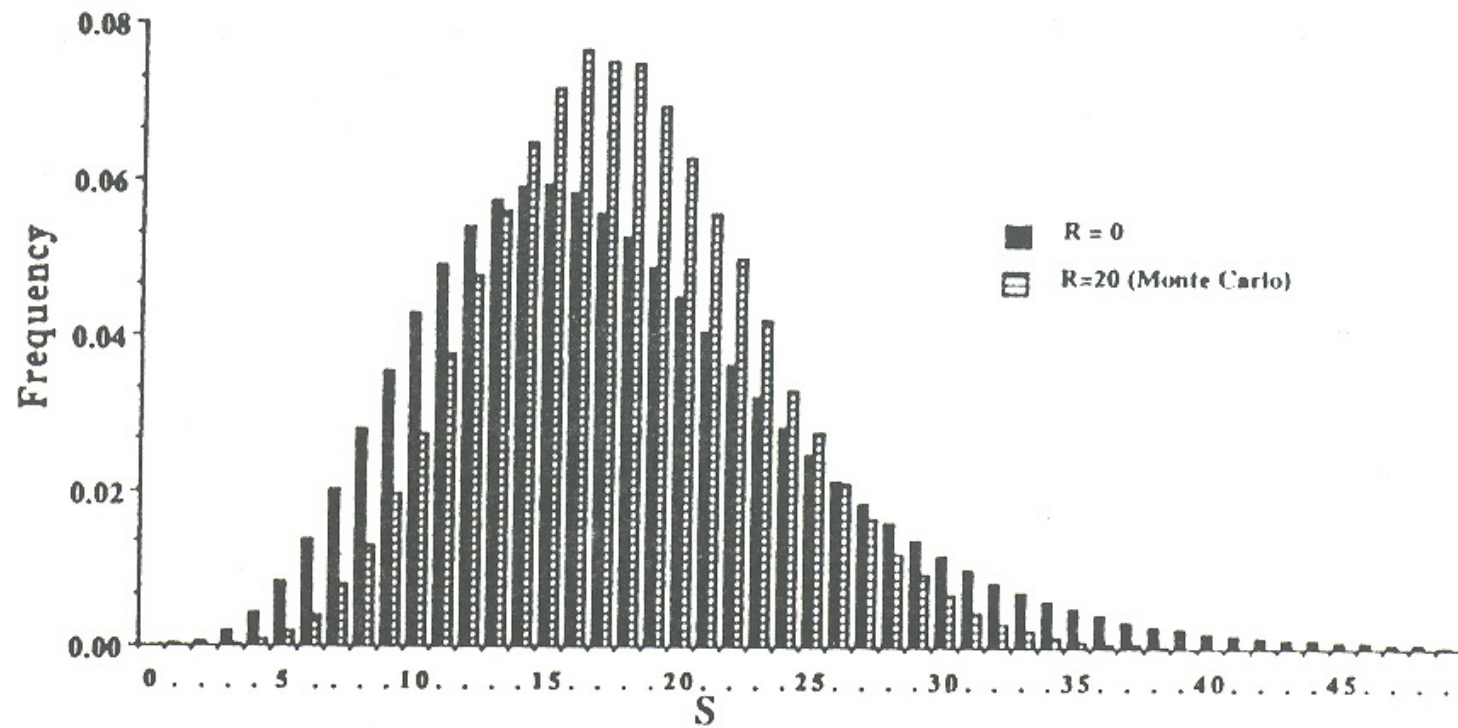
- Recombination does not change expectation for  $S$ ...

$$E(S) = 4N\mu \sum_{i=1}^{n-1} 1/i = \theta \sum_{i=1}^{n-1} 1/i$$

- ... but it reduces its variance.
  - With large  $r$ ,  $S$  is effectively averaged over multiple genealogies

# Number of Mutations

---

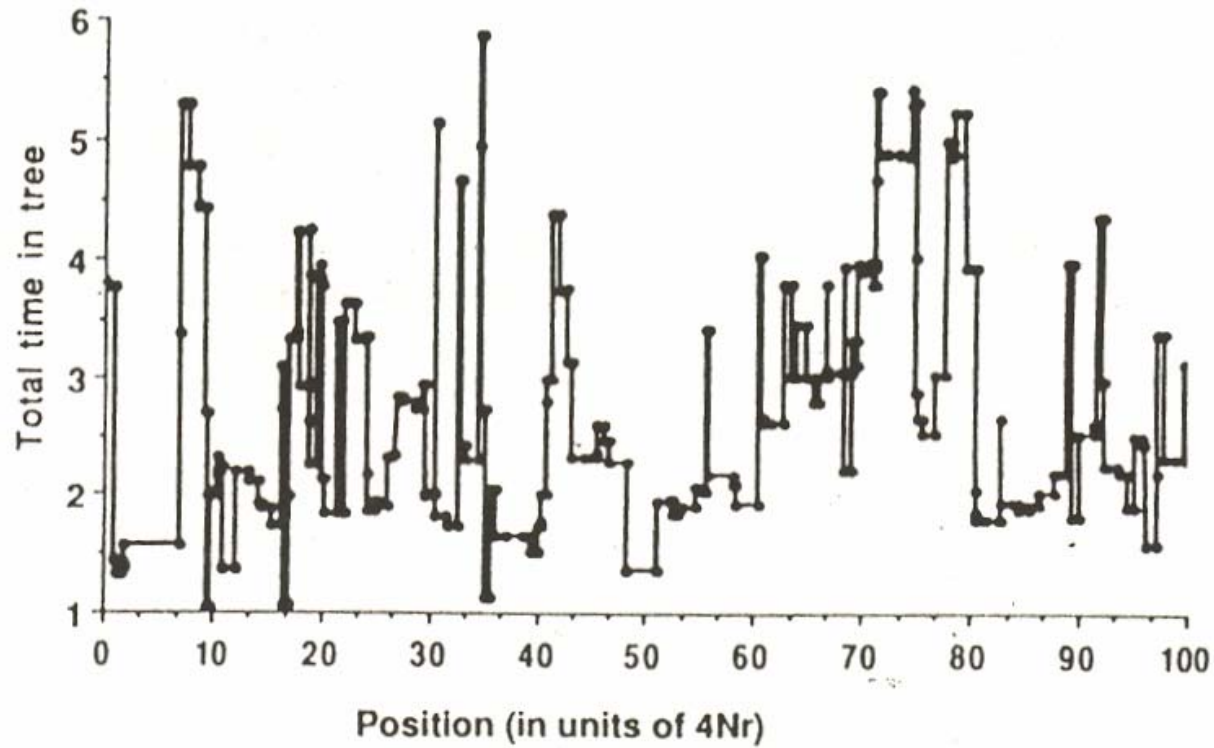


# Total Time in Tree

---

Sample size 10

Corresponds to ~250kb  
in humans



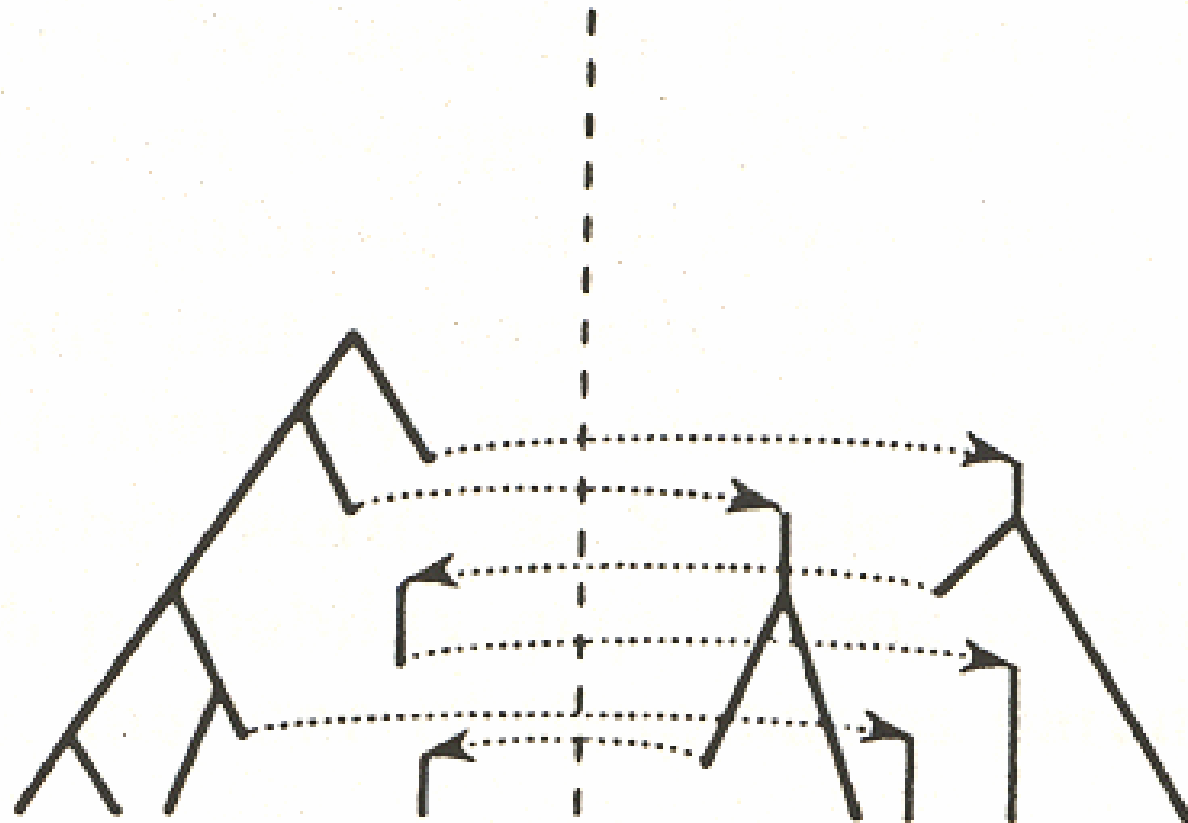
## Population Subdivision

---

- What if the population is not mating at random, but is made up of multiple small groups?
- Track migration among ancestors...

# High Migration rate ...

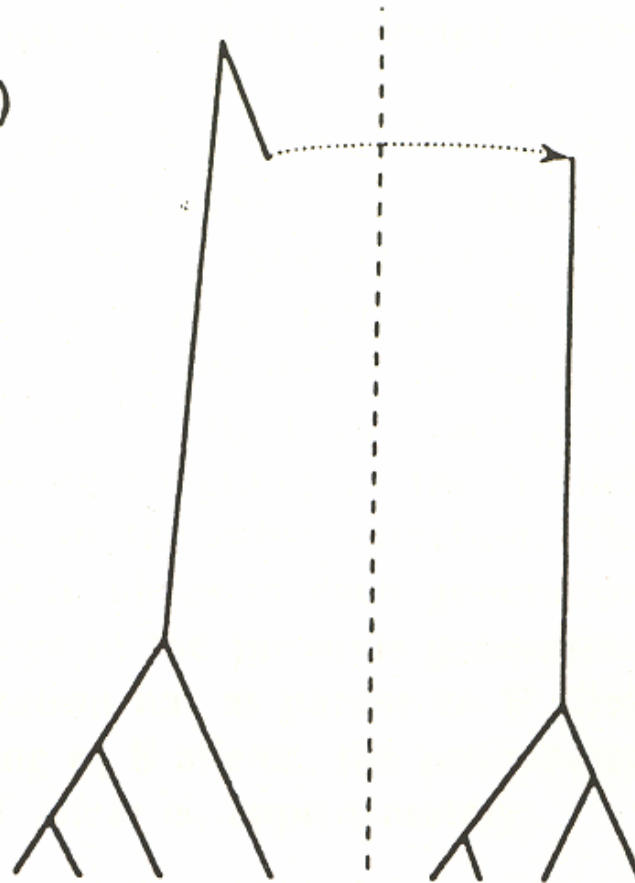
---



# Low Migration rate ...

---

(b)



## Formulae:

---

If the two subpopulations each have  $N$  diploids

Coalescent among  $n_1$  lineages in population 1

$$\binom{n_1}{2} / 2N$$

Coalescent among  $n_2$  lineages in population 2

$$\binom{n_2}{2} / 2N$$

Migration

$$(n_1 + n_2)m$$



# Conditional Probabilities

---

Conditional Probability of Coalescence

$$\frac{\binom{n_1}{2}}{\binom{n_1}{2} + \binom{n_2}{2} + (n_1 + n_2) \frac{M}{2}}$$

where  $M = 4Nm$  is the probability of coalescence in population 1

# Conditional Probabilities

---

## Conditional Probability of Migration

$$\frac{n_1 \frac{M}{2}}{\binom{n_1}{2} + \binom{n_2}{2} + (n_1 + n_2) \frac{M}{2}}$$

is the probability of migration from population 1 to 2.

## Models with Migration

---

- As in the case with recombination, most predictions are based on simulations
- The models for migration are analogous to those with balancing selection
  - Replace migration rate with the mutation rate between the two alleles

# Questions that Coalescent Can Tackle...

---

- Frequency spectrum of observed mutations
  - Impact of population growth
  - How many mutations are unique?
- Disequilibrium coefficient
  - Joint distribution of  $(p_A, p_B, D_{AB})$
  - Impact of population growth

# MS Computer Program

---

- Coalescent Simulator
  - by Richard Hudson at U. of Chicago
- Generates samples of sequences
  - Population and subpopulation sizes
  - Mutation rate ( $\theta = 4N\mu$ )
  - Recombination rate ( $R = 4Nr$ )
- <http://home.uchicago.edu/~rHUDSON1/>

## Recommended Reading

---

- Richard R. Hudson (1990) “Gene Genealogies and the coalescent process”
  - from Oxford Surveys in Evolutionary Biology, Vol. 7. D. Futuyma and J. Antonovics (Eds). Oxford University Press, New York.